

***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***

Applicant: Gregory Maury SHEPHERD  
Title: DRIFT ELIMINATOR, LIGHT TRAP, AND METHOD OF FORMING  
SAME  
Appl. No.: Unassigned  
Filing Date: 12/08/2003  
Examiner: Unassigned  
Art Unit: Unassigned

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document, except as noted below, is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to Pre-OG Notice from Office of Patent Legal Administration dated July 25, 2003, *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

#### **TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

#### **RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

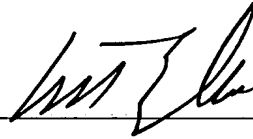
The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a

check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date: December 8, 2003

By



FOLEY & LARDNER

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William T. Ellis

Attorney for Applicant

Registration No. 26,874

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Date Submitted: December 8, 2003

(use as many sheets as necessary)

**Complete if Known**

Application Number	Unassigned
Filing Date	09/08/2003
First Named Inventor	Gregory Maury SHEPHERD
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	017198-0119

Sheet 1 of 3

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A1	2,793,017		LAKE	05-21-1957	
	A2	3,206,536		GOODLOE	09-14-1965	
	A3	3,262,682		BREDBERG	07-26-1966	
	A4	3,346,246		LOETEL, et al.	10-10-1967	
	A5	3,359,616		BUTT	12-26-1967	
	A6	3,415,502		MUNTERS	12-10-1968	
	A7	3,475,012		BRITTON, et al.	10-28-1969	
	A8	3,540,702		UYAMA	11-17-1970	
	A9	3,738,626		NORBACK	06-12-1973	
	A10	3,785,620		HUBER	01-15-1974	
	A11	3,862,280		POLOVINA	01-21-1975	
	A12	3,983,190		NORBACK	09-28-1976	
	A13	3,952,077		WIGLEY	04-20-1976	
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	A17	4,304,738		NUTTER	12-08-1981	
	A18	4,427,607		KORSELL	01-24-1984	
	A19	4,512,938		JONES et al.	04-23-1985	
	A20	4,548,766		KINNEY, JR. et al.	10-22-1985	
	A21	4,557,878		FULKERSON	12-10-1985	
	A22	4,562,015		LEFEVRE	12-31-1985	
	A23	4,581,051		REGEHER et al.	04-08-1986	
	A24	4,663,092		KINNEY, JR. et al.	05-05-1987	
	A25	4,668,443		RYE	05-26-1987	
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	A28	4,801,410		KINNEY, JR. et al.	01-31-1989	
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	A30	4,905,313		STACKHOUSE	02-27-1990	
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	A32	4,950,430		CHEN et al.	08-21-1990	
	A33	5,013,492		GAY et al.	05-07-1991	
	A34	5,028,356		WILTZ	07-02-1991	
	A35	5,104,588		KINNEY, JR.	04-14-1992	
	A36	5,112,538		THOMAS	05-12-1992	
	A37	5,147,583		BUGLER, III et al.	09-15-1992	
	A38	5,185,105		PETERSON	02-09-1993	
	A39	5,217,788		RYE	06-08-1993	
	A40	5,230,725		CHOWANIEC	07-27-1993	
	A41	5,242,627		LUNDIN	09-07-1993	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Date Submitted: December 8, 2003 <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	Unassigned
				Filing Date	09/08/2003
				First Named Inventor	Gregory Maury SHEPHERD
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	2	of	3	Attorney Docket Number	017198-0119

## U.S. PATENT DOCUMENTS

		U.S. Patent Document				
	A42	5,312,464		GAY	05-17-1994	
	A43	5,320,651		DRUMMOND	06-14-1994	
	A44	5,454,987		CORNWELL, JR.	10-03-1995	
	A45	5,474,832		MASSEY	12-12-1995	
	A46	5,500,402		VANGBO	03-19-1996	
	A47	5,770,117		PHELPS	06-23-1998	
	A48	6,022,392		WURZ	02-08-2000	
	A49	6,260,830	B1	HARRISON et al.	07-17-2001	
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	A51	6,460,832	B1	MOCKRY et al.	10-08-2002	
	A52	6,502,807	B1	ASSAF et al.	01-07-2003	
	A53	6,517,058	B1	ENGH et al.	02-11-2003	
	A54	6,544,628	B1	AULL et al.	04-08-2003	
	A55	6,622,508	B2	DINNAGE et al.	09-23-2003	
	A56	6,640,427	B2	AULL et al.	11-04-2003	

## FOREIGN PATENT DOCUMENTS

[illegible]

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A57	GARY R. MIRSKY et al., "Film Fill", Cooling Tower Institute 1990 Annual Meeting, February 5-7,,1990, pages 1-15 plus Appendix, Technical Paper No. TP90-11	
	A58	MICHEL MONJOIE et al., "Fouling Film Fill", Cooling Tower Institute 1993 Annual Meeting, February 17-19, 1993, pages 1-15 plus Appendix, Technical Paper No. TP93-06	

Examiner Signature		Date Considered	
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	A59	Product Catalogs, <a href="http://www.brentwoodindustries.com/cool/cat2.htm">http://www.brentwoodindustries.com/cool/cat2.htm</a> , Cooling Tower Media, 3 pages, November 20, 2003.	
	A60	Product Catalogs, <a href="http://www.brentwoodindustries.com/cool/cat3.htm">http://www.brentwoodindustries.com/cool/cat3.htm</a> , Cellular Drift Eliminators, 4 pages, 1999.	
	A61	Product Catalogs, <a href="http://www.brentwoodindustries.com/cool/cat3.htm">http://www.brentwoodindustries.com/cool/cat3.htm</a> , Cellular Drift Eliminators, 3 pages, (November 20, 2003).	

Examiner Signature		Date Considered	
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